Date: July 10, 2001 File No: 82704 IN THE UNITED STATES PATENT AND TRADEMARK OFFICE I hereby certify that this correspondence is Patent Application being deposited with the United Postal Service as first class mail in an envelope addressed to: Applicant: Karl-Heinz SCHUSTER Box MISSING PARTS / Commissioner for Patents / Washington, DC 20231, on July 10. Serial No.: 09/847,658 2001 Filed: May 2, 2001 Gerald T. Shekleton Reg. No. 27,466 LENS. IN **PROJECTION** For: PARTICULAR FOR )

MICROLITHOGRAPHY
COMPLETION OF FILING REQUIREMENTS

Box MISSING PARTS
Assistant Commissioner for Patents

Washington, D.C. 20231

Sir:

Transmitted herewith in response to the Notice to File Missing Parts of Application -- Filing Date Granted dated May 28, 2001 are:

- (X) Declaration or Oath for this application.
- (X) Filing fee for this application with Fee Transmittal Form
- (X) Assignment(s) of the invention to <u>Carl Zeiss and Recordation Cover Sheet</u>
- ( ) Petition for Extension of Time.
- (X) Other: Certified Copy of the priority document
- (X) A Check in the amount of \$1,942.00 to cover the filing fee, surcharge and assignment recordal fee.
- ( ) Charge \$\_\_\_\_\_ to Deposit Account No. 23-0920.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required to this application under 37 C.F.R. §§1.16-1.17, or credit any overpayment, to Deposit Account No. 23-0920. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 23-0920. A duplicate copy of this sheet is enclosed.

120 South Riverside Plaza

22nd Floor

Chicago, Illinois 60606-3913

Telephone: (312) 655-1500

WELSH & KATZ, LTD.

Svalet Sheld

Gera

By

Gerald T. Shekleton Registration No. 27,466

PTO/SB/17 (11-00)
Approved for use through 10/31/2002. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Reperwork Reduction Act of 1995, no persons are required to r espond to a collection of information unless it displays a valid OMB control number.

Patent fees are subject to annual revision.

TOTAL	AMOUNT	OF PA'	YMENT

\$1	.942.	00
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Complete if Known				
Application Number	09/847,658			
Filing Date	May 2, 2001			
First Named Inventor	Karl-Heinz SCHUSTER			
Examiner Name				
Group Art Unit				
Attorney Docket No.	82704			

METHOD OF PAYMENT		FEE CALCULATION (continued)				
The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:		3. ADDITIONAL FEES Large Entity Small Entity				
Deposit Account 23-0920	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
Number	105	130	205	65	Surcharge - late filing fee or oath	130.00
Account Name Welsh & Katz, Ltd.	127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
0 4 4 1 mg 4 5 - 0 1 - 4		130	139	130	Non - English specification	
Under 37 CFR §§ 1.16 and 1.17	147	2,520	147	2,520	For filing a request for ex parte reexamination	
Applicant claims small entity status.  See 37 CFR § 1.27	112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
2. Payment Enclosed:		1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
Check Credit card Money Other	115	110	215	55	Extension for reply within first month	
FEE CALCULATION		390	216	195	Extension for reply within second month	
1. BASIC FILING FEE	117	890	217	445	Extension for reply within third month	
Large Entity Small Entity	118	1,390	218	695	Extension for reply within fourth month	
Fee Fee Fee Fee Description	128	1,890	228	945	Extension for reply within fifth month	
Code (\$) Code (\$) Fee Paid  101 710 201 355 Utility filing fee 710.00	119	310	219	155	Notice of Appeal	
	120	310	220	155	Filing a brief in support of an appeal	
		270	221	135	Request for oral hearing	
107 490 207 245 Plant filing fee		1,510	138	1,510	Petition to institute a public use proceeding	
114 150 214 75 Provisional filing fee	140	110	240	55	Petition to revive - unavoidable	
SUBTOTAL (1) \$710.00		1,240	241	620	Petition to revive - unintentional	
· · · · · · · · · · · · · · · · · · ·	142	1,240	242	620	Utility issue fee (or reissue)	
2. EXTRA CLAIM FEES Fee from	143	440	243	220	Design issue fee	
Extra Claims below Fee Paid	144	600	244	300	Plant issue fee	
Total Claims 39 -20** = 19 X 18.00 = 342.00	122	130	122	130	Petitions to the Commissioner	
Independent 12 - 3** = 9 X 80.00 = 720.00 Claims Multiple Dependent = = = = = = = = = = = = = = = = = = =		50	123	50	Processing fee under 37 CFR § 1.17(q)	
		180	126	180	Submission of Information Disclosure Statement	
Fee Fee Fee Fee Description Code (\$) Code (\$)	581	40	581	40	Recording each patent assignment per property (times number of properties)	40.00
103 18 203 9 Claims in excess of 20 102 80 202 40 Independent claims in excess of 3	146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
104 270 204 135 Multiple dependent claim, if not paid	149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
109 80 209 40 ** Reissue independent claims	179	710	279	355	Request for Continued Examination (RCE)	
over original patent	169	900	169	900	Request for expedited examination	
110 18 210 9 ** Reissue claims in excess of 20 and over original patent		of a design application  Other fee (specify)				
SUBTOTAL (2) \$1,062.00						
**or number previously paid, if greater; For Reissues, see above		duced l	oy Basic	Filing	Fee Paid SUBTOTAL (3)	\$170.00
or manual providually paid, in grouter, i or manualla, dee above					Complete (if applicable)	

SUBMITTED B Registration No. 27,466 Telephone (312)655-1500 Gerald T. Shekleton Name (Print/Type (Attorney/Agent) July 2001 Signature

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COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE

WASHINGTON, D.C. 2015 www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/847,658

05/02/2001

Karl-Heinz Schuster

1210/82704

**CONFIRMATION NO. 7054** 

Gerald T. Shekleton, Esq. Welsh & Katz, Ltd. 120 S. Riverside Plaza, 22nd Floor Chicago, IL 60606



Date Mailed: 06/28/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- · The statutory basic filing fee is missing. Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$1062.
  - \$342 for 19 total claims over 20.
  - \$720 for 9 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1902.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

07/13/2001 SDENBOD1 CC0CC039 09847658

710.00 OP 720.00 OP 342.00 OP